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(REV. 9-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

KEMP0006

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

Unassigned **10/031661**

INTERNATIONAL APPLICATION NO.

PCT/GB00/02726

INTERNATIONAL FILING DATE

14 July 2000

PRIORITY DATE CLAIMED

16 July 1999

TITLE OF INVENTION

Sea Wave to Electrical Energy Conversion Plant

APPLICANT(S) FOR DO/EO/US

Kelly, Hugh-Peter Granville

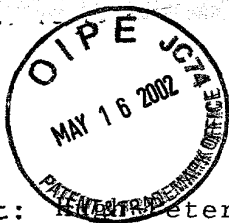
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment. --and clean copy after amendments made.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - International Search Report and cited References
  - Form 402, showing receipt of Demand

U.S. APPLICATION NO. (if known see 37 CFR 1.53) <b>10/031661</b> Unassigned				INTERNATIONAL APPLICATION NO. PCT/GB00/02726		ATTORNEY'S DOCKET NUMBER KEMP0006		
21. <input checked="" type="checkbox"/> The following fees are submitted:						<b>CALCULATIONS PTO USE ONLY</b>		
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b>								
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b>								
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b>								
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b>								
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>								
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>						<b>\$ 890.00</b>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						<b>\$ 0.00</b>		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE					
Total claims	10 - 20 =	0	x <b>\$18.00</b>					
Independent claims	1 - 3 =	0	x <b>\$84.00</b>					
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ <b>\$280.00</b>				
<b>TOTAL OF ABOVE CALCULATIONS =</b>						<b>\$ 1170.00</b>		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						<b>\$ 585.00</b>		
<b>SUBTOTAL =</b>						<b>\$ 585.00</b>		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						<b>\$ 0.00</b>		
<b>TOTAL NATIONAL FEE =</b>						<b>\$ 585.00</b>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +						<b>\$ 0.00</b>		
<b>TOTAL FEES ENCLOSED =</b>						<b>\$ 585.00</b>		
						<b>Amount to be refunded:</b>		<b>\$ 0.00</b>
						<b>charged:</b>		<b>\$ 585.00</b>
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>07-1445</u> in the amount of \$ <u>585.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>07-1445</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card          information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.								
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>								
SEND ALL CORRESPONDENCE TO:								
Glenn Patent Group 3475 Edison Way, Suite L Menlo Park, CA 94025  Customer No. 22862				SIGNATURE Michael A. Glenn NAME 30,176 REGISTRATION NUMBER				



N.84625

Applicant: ~~INVENTOR~~ Peter Granville KELLY Attorney's Docket

Serial No.

Filed:

For: SEA WAVE TO ELECTRICAL ENERGY CONVERSION PLANT

DECLARATION CLAIMING SMALL ENTITY STATUS

(37 CFR 1.9(f) and 1.27(b) - INDEPENDENT INVENTOR

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled described in the specification filed herewith. I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(c) or a nonprofit organization under 37 CFR 1.9(e). Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern or organization
- ☐ persons, concerns or organizations listed below.

Full Name: Hugh-Peter Granville KELLY  
Address: 47 Crowstone Road, Westcliff on Sea  
Essex SS0 8BG, United Kingdom.

- ☒ INDIVIDUAL
- ☐ SMALL BUSINESS CONCERN
- ☐ NONPROFIT ORGANIZATION

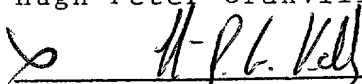
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fees due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR:

Hugh-Peter Granville KELLY

Signature of Inventor:



Date:

20/1/02 20th January 2002

NAME OF INVENTOR:

Signature of Inventor:

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Date:

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S/PRTS

Intl. App. as-filed.

10/031661

531 Rec'd PCT/P 16 JAN 2002

SEA WAVE TO ELECTRICAL ENERGY CONVERSION PLANT

The present invention relates to the conversion of sea wave energy to electricity.

A preferred method of obtaining electrical energy from the motion of waves  
5 is the direct conversion of wave movement to electrical power using  
electrical linear generators. In this arrangement, the reciprocating motion of  
one or more floats is used to cause relative movement between the stator  
and armature of such a generator. The direct generation of power is thereby  
realised without the need for any form of intermediary mechanism, as would  
10 be necessary for example when using rotary electrical generators.

An important factor concerning the generation of electricity from any source  
is the efficiency of energy conversion. This is particularly important in the  
case of capturing renewable energy from sea waves. Because of the high  
cost of installing the power conversion plant, the operator must be  
15 absolutely certain that the commercial returns will be adequate. It is not  
possible simply to step up output by burning more fuel, as, obviously, the  
behaviour of the fuel source (the sea) is outside the operator's control.  
Accordingly, in the case of wave power generators using linear generators  
as the energy conversion means, every possible watt of energy must be  
20 extracted to ensure an adequate return. For this purpose, it is essential  
both to ensure that the apparatus is arranged to generate power as  
consistently as possible, and not to waste the potential energy available from  
sea waves on any function subsidiary to the generation of power.

An example of such a subsidiary function affecting the performance of many designs of wave conversion plant using buoyancy chambers, is the expenditure of wave energy necessary to accelerate the mass itself of the buoyancy chamber and any associated apparatus not directly concerned with the conversion of power. For example, in the case of buoyant hinged raft type constructions, which are used to generate power by employing the motion of buoyant chambers to drive hydraulic pistons, the mass of the raft buoyancy chambers themselves is considerable. As a result of this, the upthrust resulting from their buoyancy must be expended both in accelerating them in order to keep up with the speed of ascendancy of the wave acting upon them, and for driving the hydraulic piston.

The disadvantage of this arrangement is readily apparent as the following example shows. Consider a lightweight polystyrene float. This will bob up and down responsively to the action of any wave. A huge concrete block, but trapping air so as to have the same overall buoyancy, cannot possibly do the same, allowing for the basic formula  $p=ma$ . The use of the potential energy provided by the wave to lift the polystyrene float is negligible, and its resulting kinetic energy as it rides up the wave is similarly negligible. It can therefore perform the single task of conveying the potential and kinetic energy imparted to it by the wave to the power conversion means, negligible energy being lost in acting upon the float itself. This however, clearly does not apply to the concrete block. Indeed, if it is too massive, it will not have risen before the wave has fallen away.

Also, concerning the effectiveness of using linear generators to convert wave power to electricity, it is important that electrical power is generated as consistently as possible, i.e. for a given wave, as evenly as possible both over the ascent portion of the wave, and the descent portion. Thus, for this purpose, the linear generator should experience as closely as possible the same upthrust as downthrust during the ascending and descending portions of a wave.

The ideal arrangement is one in which the effects of the above described subsidiary function are minimised, and at the same time, power is generated as consistently as possible.

According to the invention wave energy to electrical power conversion

5 apparatus comprises:

at least one linear generator having a stator and an armature which can be linearly driven relative to the stator to generate electrical energy and at least one float linked to the armature and which, in use, is immersed in the sea to be subject to the action of waves to drive the armature, the float(s),

10 armature and link thereby constituting a wave-driven mass;

wherein the weight of the wave-driven mass is substantially equal to half the upthrust provided by the water displaced by the float(s) when fully immersed in the water.

Other, optional features of the invention, are defined in the sub-claims.

15 It should be noted that the invention contemplates more than one float per generator, and also where there may be more than one generator per float. In either case, the mass constraints apply to the combination of the float(s)/generator(s)/link(s) as a whole.

Thus, in this arrangement, were there to be no waves i.e. calm conditions  
20 were prevailing, on account of the fact that the combined weights equal half the buoyancy provided by the float were it to be fully submerged, the float would float half in - half out of the water (assuming it to be of a symmetrical construction). In the presence of waves, during the rise of a wave, (and assuming the mmf resistance afforded by the generators to the motion of the  
25 float is such that it is completely submerged during this rising phase), an upwards thrust is imparted to the generator equal to substantially half the

weight of the water displaced by the float. Conversely, on the fall of the wave, and assuming for the same reason the float is hardly in contact with the water, a downwards thrust due to gravity is imparted to the generator equal to the combined weights of the assembly, again equal to substantially  
5 the same value as was experienced on the upstroke.

Thus the linear generators experience substantially consistent upwards and downwards thrust during the passing of a wave, and thus consistent generation of power during both of these phases is achieved. In addition, because the mass of the floats and any intermediate linkage mechanism is  
10 kept to a minimum, no energy is lost in accelerating any parasitic weight, other than the necessary mass of the moving components of the linear generators themselves, which might otherwise impede the following by the floats of the wave motion.

In other words, all of the available force arising from the presence of the float moving upon the waves, and thus the captured energy, is expended  
15 solely in the movement alone of the generators for doing useful work (setting aside the comparatively small amount of energy necessary to accelerate any intermediate linking means, and the lightweight float itself). At the same time, on account of the combined weights of the generator,  
20 float(s) and linkage means, the motion of the float is optimised for the consistent generation of power.

This is an important distinction over prior art disclosures, including linear generator wave power devices, in which conscious consideration is not given to the generation of power as consistently as possible while at the  
25 same time minimising the energy loss through the use of associated structures as light as possible.

By way of introduction to an embodiment of the invention described below,



many other forms of wave energy devices cannot, by their very construction, make optimum use of the sea area available in which they operate. For example, in the case of the type of device in which buoyancy chambers are affixed to the far ends of swivelling arms for operating hydraulic pistons, the  
5 opposite ends of the arms being pivoted within a tower mounted on the sea bed containing hydraulic pistons and other components, a considerable sea area is monopolised by the arms and the tower itself. The sea area cannot therefore be used to do useful work. In an ideal world, and to obtain the maximum energy from a given area of the sea, as many floats as is possible  
10 should be operative within the waves, without of course disrupting their natural flow and thus effectiveness. It can be envisaged that a honeycomb arrangement of floats would provide an ideal solution.

Therefore, in an embodiment of the invention, the disposition, size and number of linear generators operated upon by the float/floats is such that  
15 the average horizontal area occupied by them does not exceed to any material extent the horizontal area occupied by the float(s) and any perimeter space surrounding the float for the effective operation and motion thereof. In this arrangement, the generators do not therefore occupy any space greater than that of their associated floats, and thus as  
20 many floats can be juxtaposed side by side, or in any other favourable arrangement, as is possible. Thus, for any given sized sea area, and thus size and cost of associated support structure for housing the linear generators, the maximum power may be generated and therefore the greatest financial return obtained for initial capital outlay.

25 In a feature of the invention, in order to enhance the captivation of wave energy, the flotation chambers are equipped with one or more paddles immersed in the sea, the planar axes of the paddles being arranged to be substantially parallel to the sea surface, the arrangement being such that the float and paddles act in combination to force movement of the armature

of the generator relative to its stator, the float by means of its buoyancy, and the paddle, or paddles, by means of their resistance to the motion of the seawater. Furthermore, the planar surfaces of the paddle against which the rising and falling water presses, may be so contoured as to provide as much  
5 resistance as possible to the motion of the water, and therefore to receive the greatest counter thrust.

In a further feature of the invention, relating to the shape of the floats, their profile is optimised such as to provide the maximum possible buoyancy while offering minimal resistance to the slight elliptical horizontal movement  
10 experienced by waves as they rise and fall. Thus minimal sideways forces are communicated to any support structure supporting the linear generators. This profile may be, for example, in the shape of a 'flying saucer'.

In one embodiment of this invention, the stator of a linear motor is partially immersed in the sea, and is held perpendicular to the sea bed by a weight  
15 resting on the sea floor, or by other permanent means. The coaxial armature for traversing up and down the rod, and the generation of electricity, is directly fixed to, or is integral to, the flotation chamber - and paddles - which are also coaxial with the rod and free to travel therealong. (Note, for the purpose of clarifying the terminology used throughout this application, by  
20 armature is meant that part of the linear generator which is caused to move by the float.)

The gravitational weight of the armature, along with that of the flotation chamber is so predetermined that in use substantially half of the flotation chamber would protrude above water during calm conditions. Thus, in wave  
25 conditions, as waves ascend, its natural buoyancy raises the assembly to generate electricity, and as the waves fall, the weight of the assembly causes it likewise to fall, again generating electricity. To suit local conditions, in a feature of this embodiment of the invention, rather than using one

coaxial flotation chamber per motor, one large floatation chamber may be linked by articulated joints to several generators.

In an alternative arrangement relating to this embodiment of the invention, the linear generator, or generators, rather than being immersed in the sea, is / are instead mounted within a supporting cage above sea level. The generator may be protected from sea spray and the wind by suitable cowlings. In this arrangement, the flotation chamber and paddles - moving in response to the undulation of the sea waves - are connected by push rods, or other mechanical means, to the moving part of the housed generator(s). Thus, the aggressive and inhospitable aspect of generating power from waves is confined solely to the field (ocean) replaceable flotation and paddle components. In an aspect of this form of arrangement, in order to cope with the very considerable variations in wave height arising from tidal movement affecting power plants located near the sea shore, means are provided within the cage to vary the height, relative to the sea bed, of the fixed part of the generator, in accordance with the mean height of the waves.

Referring now to an aspect of the invention concerned with how generation of electrical power is optimised for any given prevailing wave condition, control means are used to regulate the effective load impedance presented to the generators in accordance with the strength of the prevailing wave motion, the regulation being such as to ensure that the electromagnetic damping of the motion of the generators, as they generate electricity, is always such as to optimise the generation of power. By way of explanation, if the generator is either over or under damped, it will fail to respond in the optimal manner to movement of the waves, inasmuch that its frequency response will not enable sympathetic motion corresponding to that of the waves.

The invention will now be described with reference to the accompanying drawings in which:-

Fig 1 is a schematic representation of a wave generator of the invention;

Figs 2a and b show the principal components of Fig 1, including vectors showing their gravitational weights;

- 5 Fig 3 shows an arrangement in which the generators are mounted above sea level in a cage;

Fig 4 shows a multiplicity of generators mounted above and within the confines of the horizontal surface area of a float; and

- 10 Fig 5 shows typical electrical current waveforms generated by the wave movement, and control circuitry for optimising the use of the available wave power in any set of prevailing conditions.

- Referring to Fig 1, wave energy to electrical energy conversion apparatus is depicted immersed in the sea. The apparatus comprises a float driven linear generator, the stator of which comprises a fixed rod 10, which houses a  
15 sequence of permanent magnets. The rod is embedded, at its lowest extremity, in a concrete block, 11. The block itself is anchored to the sea bed - shown generally at 12 - to avoid drifting.

- The armature of the generator 13 comprises a cylindrical housing in which is embedded a series of coils. Coaxially surrounding the armature, and affixed  
20 thereto, is an annular flotation chamber 14. The float is made of a construction which is as light as possible. This is in order to ensure that its weight is negligible in comparison with that of the armature of the generator, and therefore that the wave energy present is expended usefully on generating electrical power rather than accelerating any undue mass of the

- float itself, and/or restricting the assembly from following the wave motion. Located at the upper and lower surfaces of the armature are bearing bocks 15 and 16, for guiding the armature coaxially up and down the stator. Annular paddles 17, are also affixed to the flotation chamber. The paddles
- 5 are contoured in order to offer as much resistance as possible to vertical movements of the sea water, see inset diagram at 17a. The size and/or length of the armature of the linear generator, an thus its weight, is so selected that its weight, combined with that of the float, is such as to counteract by half the total upthrust afforded by the volume of water that
- 10 would be displaced by the float were the float to be submerged. This is shown more clearly with reference to Figs 2a and B. The weight W1 of the linear generator armature 13, combined with the weight W2 of the float 14, i.e.  $W1+W2$ , is arranged to equal substantially half the upthrust U1 of water displaced by the float were it to be fully submerged.
- 15 The action of the apparatus is as follows. As a wave arrives, the natural buoyancy of the flotation chamber causes the whole assembly to rise. This is assisted by the pressure of the rising water acting against the paddles 17. Thus relative motion arises between the armature and stator of the linear generator and alternating current is generated within the coils of the
- 20 generator, the amplitude and frequency of which depend upon the vigour of the wave motion. The current is conducted to a shore station by a suitably armoured flexible cable, 18. (Note, means, not shown, are present to prevent rotation of the assembly and therefore unwanted tensioning of the cable.)
- 25 Once the wave has reached its zenith, and begins to fall, the weight of the assembly causes the same also to fall. Power again is generated as the armature traverses the stator. Because the upthrust experienced by the generator is substantially the same as the weight of the assembly, electricity is generated reasonably consistently both upon the rise and fall phases of

the wave. There is some natural phase lag between the ascending of the assembly relative to the waves, and its fall, due to the natural damping effect of the electromotive force generated. As will be hereinafter described in more detail, the load impedance presented to the generator, and the  
5 overall weight of the moving assembly, is so selected as to optimise generation for any particular wave condition.

The apparatus of the invention thereby generates electrical energy consistently by the simple expedient of using an elongate linear motor having an armature of appropriate weight acting in reverse as a wave  
10 powered linear generator. In addition, owing to the lightweight construction of the float itself, the available sea wave energy is expended in causing the relative motion of the generator armature to its stator, rather than being expended also on the mass of the float itself.

As an alternative to the immersing of the generator in the sea, and/or when  
15 generation is to be effected at a sea depth where it is impractical to use the arrangements of Fig 1, an alternative method of mounting the generator may be employed with many practical advantages. Referring to Fig 3, rather than the generator being immersed in the sea, it is instead mounted within a cage 19, which in this illustration, itself rests on and is anchored to the sea  
20 bed. (Alternatively, in the case of operation in deep waters, the cage may be supported on the sea surface by separate buoyancy chambers, and moored by anchor to the sea bed.) In this arrangement, the moving part of the generator is the armature 20. (Note, for the purpose of clarifying the terminology used throughout this application, by armature is meant that part  
25 of the linear generator which is caused to move by the float.) For the purpose of economy of construction, not all of the armature contains permanent magnets. On the contrary, the armature tube is only filled with magnets in the vertical active central portion thereof which, in normal use, traverses past the stator. The portion 20a and 20b which extend

respectively downwards to the float 21, and upwards through the guide rollers 22, are instead filled with a material of appropriate durability and structural strength. As can be seen from the figure, the stator 23, is mounted on a platform 24, within the cage. As the float and paddle combination 21 is  
5 caused to ascend/descend by the undulation of the waves, so the armature is moved through the fixed stator to generate power. The armature is guided both above and below the stator by the rollers, 22. Both the float and the portions 20a and 20b of the armature rod 20, are made of material of as light weight as possible, commensurate with adequate structural strength, to  
10 ensure that the available sea energy is expended on useful generation.

As is well known, there can be significant variations of the height of the sea close by the sea shore due to tidal motion. Thus, according to the time of day, the height of the peaks and troughs of any 'given size' wave may vary substantially relative to the sea bed. This must be accommodated in the  
15 case of near shore location of any of the arrangements herein disclosed, by the use of a sufficiently long armature rod. However, filling an extended rod along its whole length with magnetic material, so that an 'active portion' is always presented to the stator, entails undue expense. To cope with this situation, the stator may be situated on the vertical movable platform 24.  
20 The height of the platform may be adjusted by detection means, (not shown), to vary with the mean height of the waves, i.e. the average tidal level, by lead screw actuators, 25. The stator may be cooled by sea water pumped around a cooling jacket surrounding the same.

Referring to Fig 4, a balance is achieved between the length  $L$  of the linear  
25 generators 13 and the total number  $N$  used for any given float, such that, while achieving the necessary mmf resistive force, the total horizontal area  $A_1$  occupied by them falls within the equivalent horizontal area  $A_2$  occupied by the float, as shown. By this means, floats can be closely juxtaposed in order to make optimum use of the sea area in which they are immersed, and

thus achieve the largest possible conversion of sea wave energy to electrical energy for a given area. This additionally provides the maximum return in terms of revenue for each kilowatt hour generated, for a given capital outlay on support structures.

- 5 For any given sea condition, which may vary from a light swell to a raging storm, it is important to ensure that the moving part of the generator faithfully follows the movement of the waves. For example, should the armature be feeding a short circuit, its motion would be excessively damped, and the float / paddle combination would be unable to follow the waves in an optimal fashion. Similarly, in a storm, were the generator to be feeding effectively an open circuit, the assembly may rise too easily in response to an approaching wave, and, under its own momentum, overshoot the crest thereof. Therefore means are necessary to ensure the load impedance is suitably adjusted for any given wave pattern. Referring to
- 10 Figure 5, AC currents generated within the coils of the generator, shown symbolically by way of example at 26 and 27, are first rectified by bridge rectifiers 28 and 29. The resulting DC currents are then fed into storage means 30. The storage means assists both in producing a steady DC level, and for ensuring a constant supply of energy whether a storm is present or
- 15 an intervening calm. An inverter 31, is used, via a transformer 33, to supply alternating current to the electricity distribution system. The effective impedance of the inverter is dynamically adjusted by detection means 32 which itself is responsive to the form of current the generators are attempting to generate, in order to optimise generation of power for any
- 20 prevailing wave condition. Thus the criteria outlined above for ensuring optimal matching of the generating capacity of the generator, with that of the motion of the waves, is permanently self-optimised. Other control circuitry means, (not shown), are used - as is customary in generating stations - to ensure the phase angle of the generated currents is correct for the addition
- 25 of power to the distribution system.
- 30



An additional feature of the invention, which indeed can be applied to all the arrangements described herein, is that the permanent magnets within the rod of the generator may, according to their position along the rod, be made of permanent magnetic materials of varying field strengths and therefore

5 cost, such that at the middle of the stator, where motion of the armature will be at its greatest, are located the strongest magnets, and at the extremities of the rod, weaker magnets. This arrangement can thereby also be used to match the predominant wave conditions, as well as economising in the cost of magnets used.

10 Numerous variations of the above will be apparent to those skilled in the art.

## CLAIMS

1. Wave energy to electrical energy power conversion apparatus comprising:

at least one linear generator having a stator and an armature  
which can be linearly driven relative to the stator to generate  
electrical energy and at least one float linked to the armature and  
which, in use, is immersed in the sea to be subject to the action of  
waves to drive the armature, the float(s), armature and link thereby  
constituting a wave-driven mass;

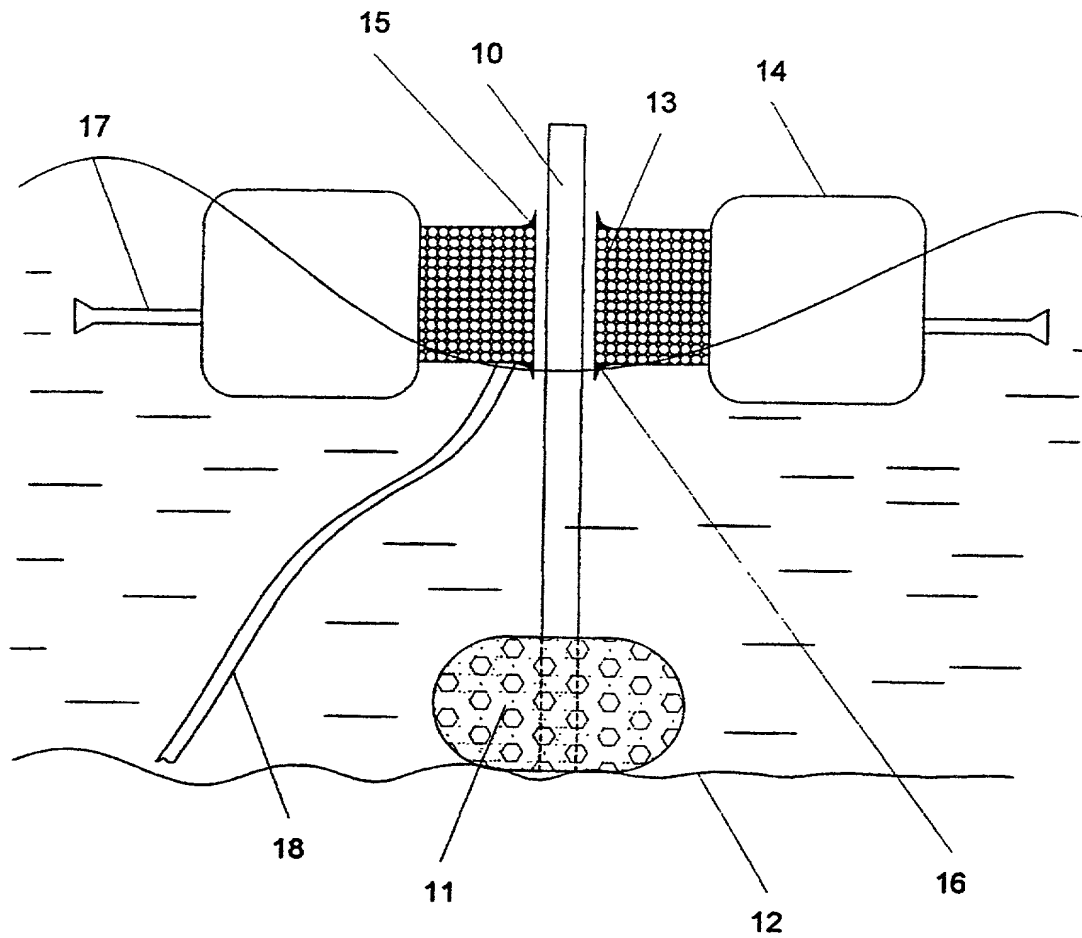
wherein the weight of the wave-driven mass is substantially  
equal to half the upthrust provided by the water displaced by the  
float(s) when fully immersed in the water.
2. Apparatus according to claim 1 wherein the contribution to the  
weight of the wave driven mass of the float(s) and link(s) is negligible  
compared with that of the armature.
3. Apparatus according to claim 1 or 2, wherein the float(s) and link(s)  
contribute negligible effective parasitic mass to the wave-driven  
mass.
4. Apparatus according to any one of claims 1-3 wherein the average  
horizontal area occupied by the linear generators does not exceed to  
any material extent the horizontal area occupied by the float(s) and  
any perimeter space surrounding the float(s) for the effective  
operation and motion thereof.
5. Apparatus according to any one of the preceding claims wherein the  
or each float is equipped with one or more paddles, suitably  
contoured, to augment the force of the sea waves acting upon the  
float.

6. Apparatus according to any one of the preceding claims in which the or each float is so contoured as to minimise any wave latent forces acting upon it, while maximising its buoyancy.
- 5 7. Apparatus according to any one of the preceding claims wherein the stator of the or each linear generator is maintained stationary and substantially perpendicular to the sea bed, and the armature thereof is affixed directly to the float for traversing the stator in accordance with the motion of the waves acting upon the float.
- 10 8. Apparatus according to any one of claims 1 to 6 wherein the stator of the or each linear generator is held in a cage above sea level, and the armature of the generator is caused to move relative thereto by linkage means to the float.
9. Apparatus according to claim 8 wherein the link to the float(s) is a direct extension of the armature of the generator.
- 15 10. Apparatus according to any one of the preceding claims in which control means is used to regulate the effective load impedance presented to the generator or generators in accordance with the strength of the prevailing wave motion acting upon the float(s), the regulation being such as to ensure that the electromagnetic damping of the motion of the generator, or generators as it or they generate electricity, is always such as to optimise the generation of power.
- 20

## ABSTRACT

Apparatus for converting the motion of sea wave energy to electrical energy comprises one or more float driven linear generators, in which the inertial mass of the float and any linkage means to the linear generator is

- 5 minimised. In order to generate electrical power consistently upon both the upstroke and downstroke of the float, the moving part of the generator is so sized that its gravitational weight acting upon the float, together with that of the float itself and any intermediate linkage means, is substantially equal to half the total buoyancy of the float.



**Figure 1**

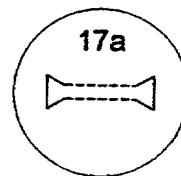


Figure 2a

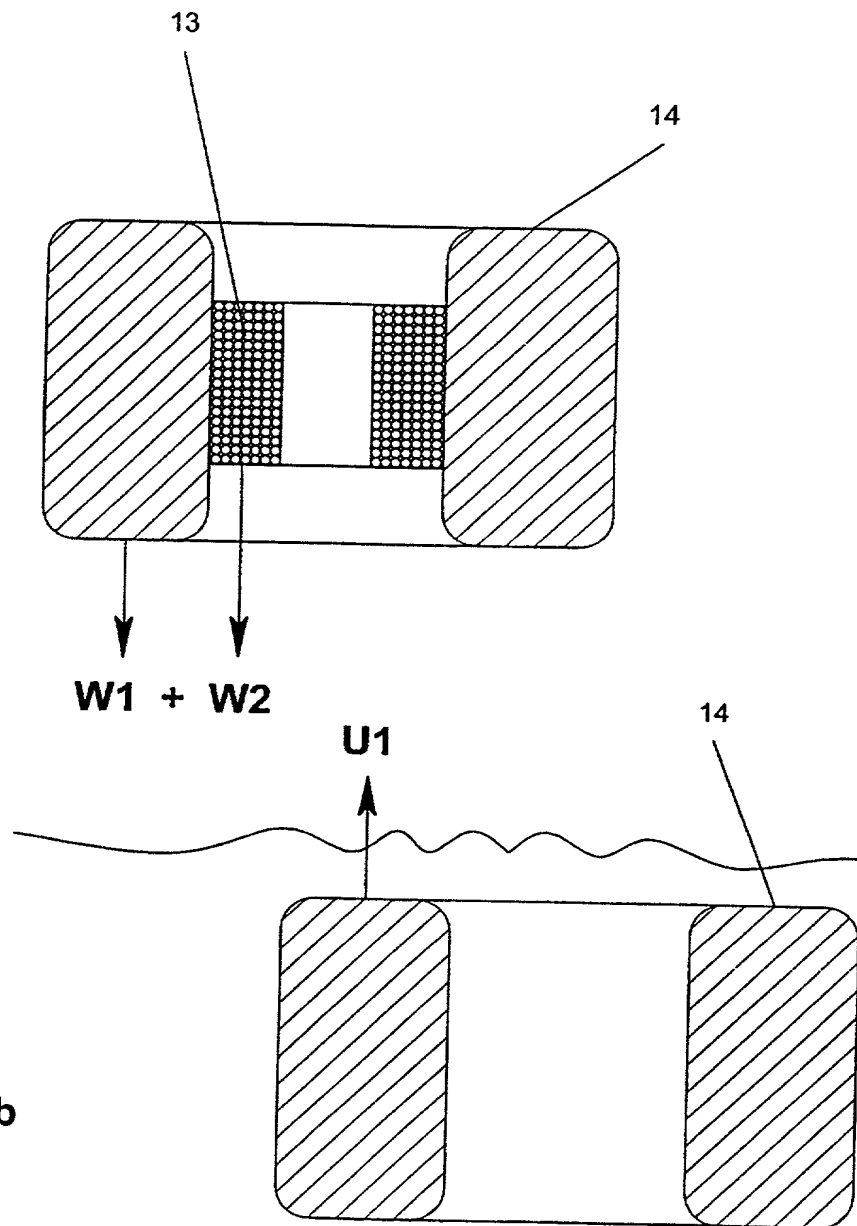


Figure 2b

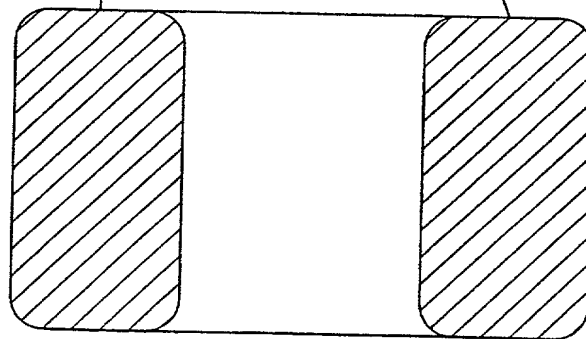


Figure 3

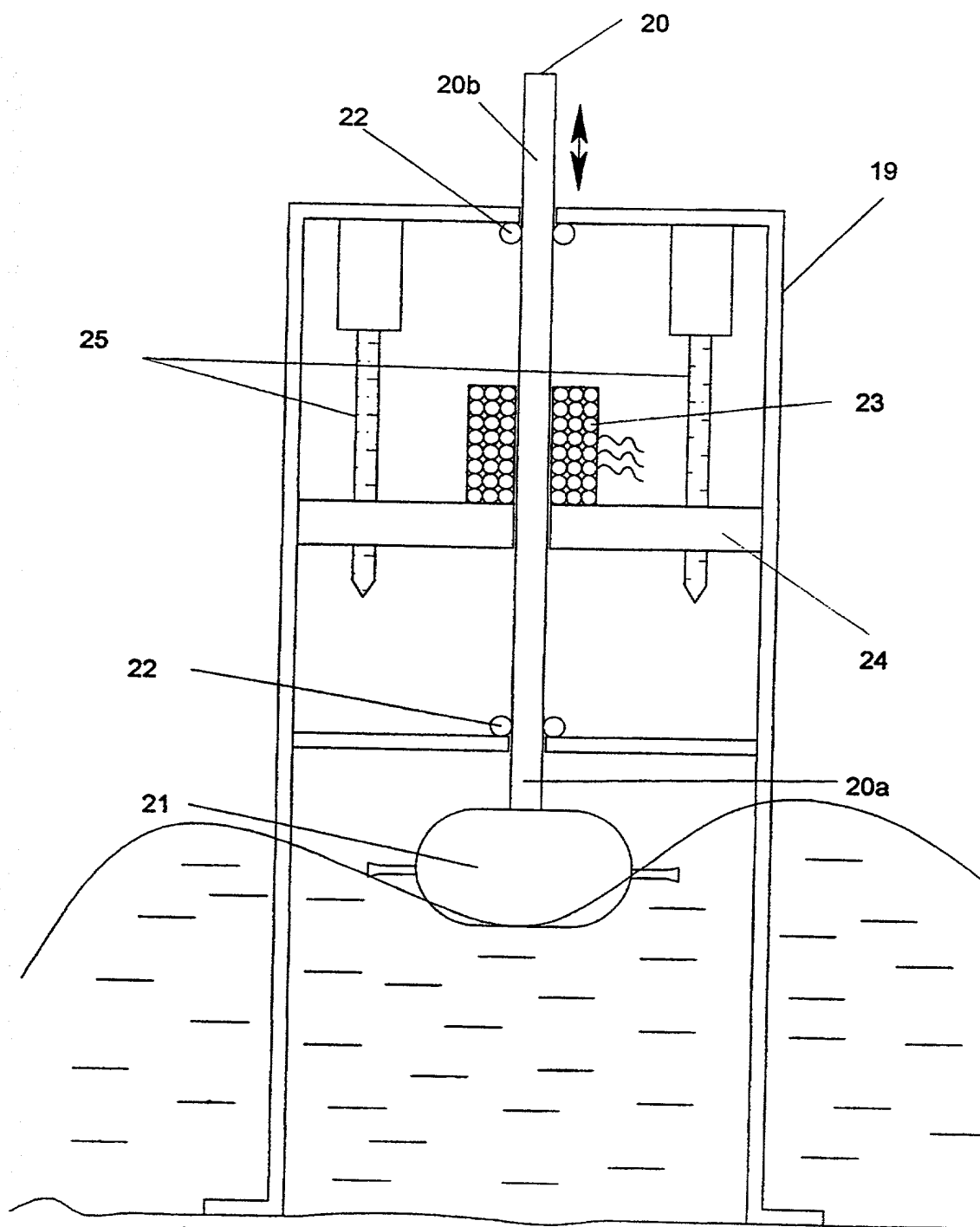


Figure 4

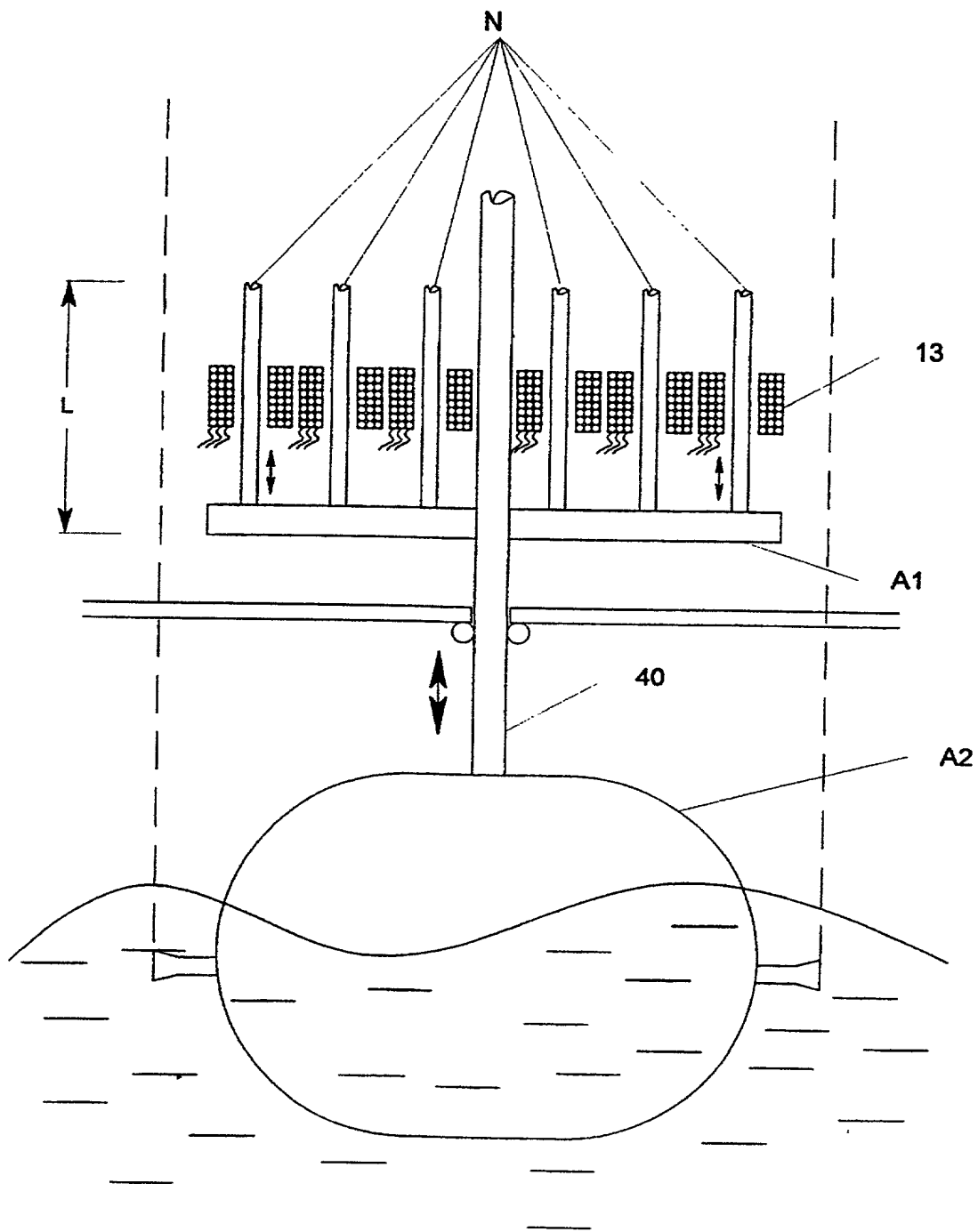
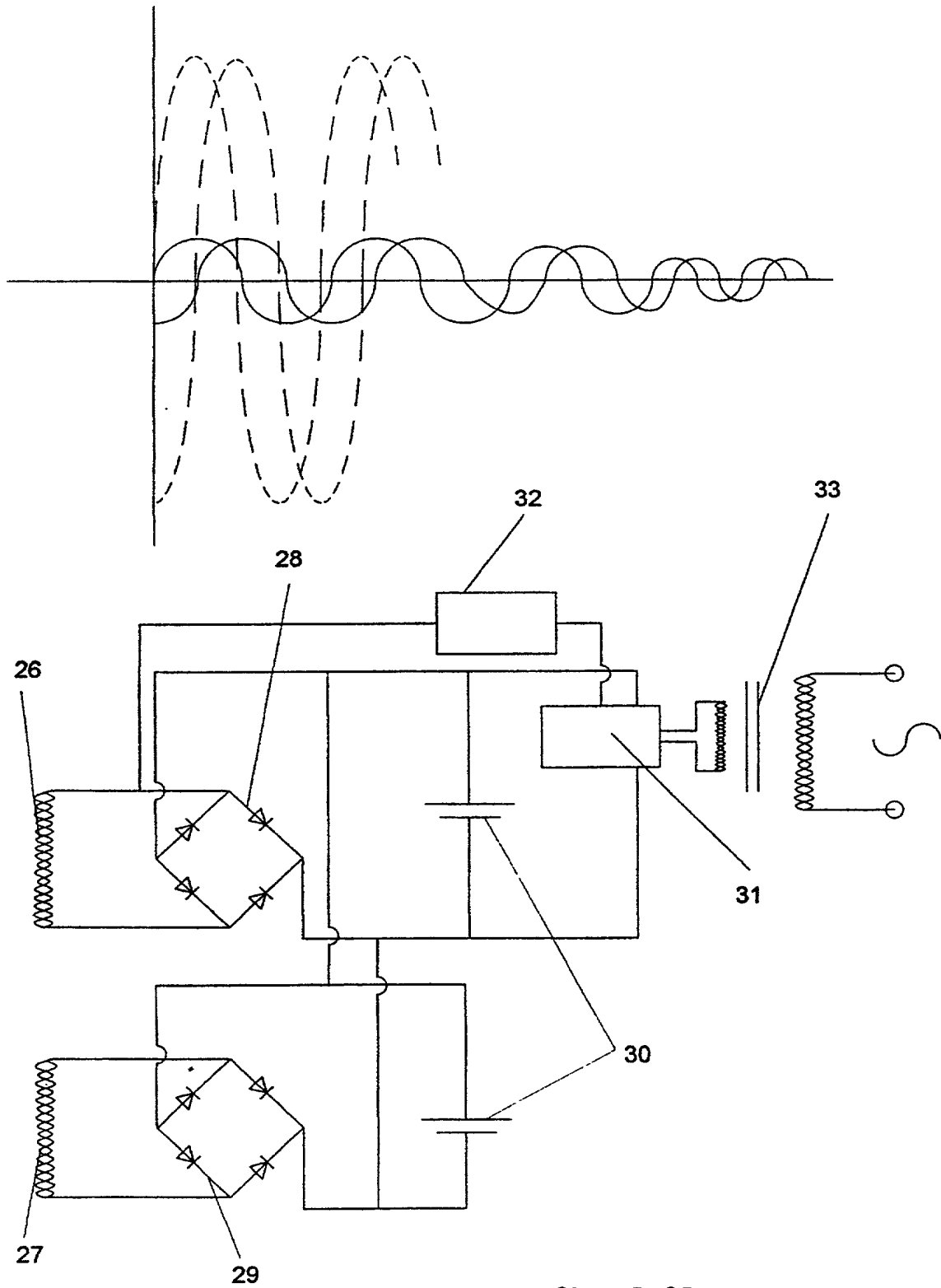
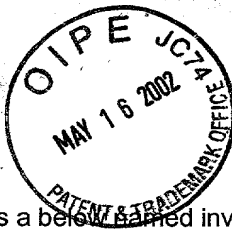




Figure 5





N.84625

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SEA WAVE TO ELECTRICAL ENERGY CONVERSION PLANT**

the specification of which (check one) ☐ is attached hereto, or ☒ was filed on Jan. 16, 2002 as Application Serial No. 10/031,661 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Day/Month/Year Filed	Yes	No
9916779.3	GB	16 Jul 1999	<input checked="" type="checkbox"/>	<input type="checkbox"/>
PCT/GB00/02726		14 Jul 2000	<input checked="" type="checkbox"/>	<input type="checkbox"/>

POWER OF ATTORNEY: As a name inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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KIRK D. WONG, Reg. No. 43,284  
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SEND CORRESPONDENCE TO:

**MICHAEL A. GLENN, 3475 Edison Way, Ste. L, Menlo Park, CA 94025**

I hereby claim the benefit under Title 35, United States code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing of the prior application and the national PCT international filing date of this application.

PRIOR UNITED STATES/PCT INTERNATIONAL APPLICATION(S)

Application No.	Filing Date	Status (patented, pending/abandoned)
PCT/GB00/02726 ✓	14 Jul 2000	

I/we hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C § 1001 and that such willful false statements may jeopardise the validity of the application or any patent issued thereon.

*H.P. Kelly*

20/1/02

Inventors Signature

Date 20<sup>th</sup> January 2002

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2001-01-01